

# Simpler proofs of the power of one query to a p-selective set \*

David Eisenstat  
Department of Computer Science  
University of Rochester  
Rochester, NY 14627 USA

Univ. of Rochester Comp. Sci. Dept. Technical Report TR-2005-883  
October 5, 2005

## Abstract

We eliminate some special cases from the proofs of two theorems in which a machine instantiating a many-query reduction to a p-selective set is made to use only one query. The first theorem, originally proved by Buhrman, Torenvliet, and van Emde Boas [BTvEB93], states that any set that positively reduces to a p-selective set has a many-one reduction to that same set. The second, originally proved by Buhrman and Torenvliet [BT96], states that self-reducible p-selective sets are in P.

The p-selective sets were introduced by Selman [Sel79] as a complexity-theory analog of semirecursive sets, which were introduced by Jockusch [Joc68]. This note assumes that the reader is familiar with basic complexity theory but presents definitions and basic propositions from the theory of p-selective sets. Interested readers are advised to consult the original papers [BTvEB93, BT96] and also Hemaspaandra and Torenvliet's monograph [HT02] on semiflexible algorithms.

A set  $B \subseteq \Sigma^*$  is *p-selective* [Sel79] iff there exists a (total, deterministic) polynomial-time function  $f : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$  such that for all  $w, x \in \Sigma^*$ ,

1.  $f(w, x) \in \{w, x\}$ , and
2.  $\bar{f}(w, x) \in B \rightarrow f(w, x) \in B$ ,

where  $\bar{f}$  is defined according to the rule

$$\bar{f}(w, x) := \begin{cases} x, & \text{if } f(w, x) = w \\ w, & \text{otherwise.} \end{cases}$$

$f$  is a *p-selector* for  $B$ . This definition, although nonstandard, captures the same class of sets as the usual one while highlighting its duality under complementation. For example, the following proposition is a direct consequence of the contrapositive form of the condition  $\bar{f}(w, x) \in B \rightarrow f(w, x) \in B$ .

**Proposition 1.** *If  $B$  is p-selective via p-selector  $f$ , then  $\bar{B}$  is p-selective via p-selector  $\bar{f}$ .*

---

\*Supported in part by NSF grant NSF-CCF-0426761. Retyped with minor corrections on November 22, 2011.

Given a p-selector  $f$ , we can extend  $f$  to finite nonempty sets by repeated application of  $f$ . for all finite nonempty  $W \subseteq \Sigma^*$  we define

$$f(W) := \begin{cases} w_1, & \text{if } |W| = 1 \\ f(f(\dots f(w_1, w_2), w_3), \dots, w_n), & \text{otherwise,} \end{cases}$$

where  $w_1, \dots, w_n$  is an enumeration of  $W$  in increasing lexicographic order. This extension of  $f$  has properties similar to those of a p-selector.

**Proposition 2** ([BTvEB93]). *Let  $B$  be p-selective via p-selector  $f$  and let  $W \subseteq \Sigma^*$  be a finite nonempty set.*

1. *Given a reasonable encoding of finite sets, the extension of  $f$  is a polynomial-time function.*
2.  $f(W) \in W$ .
3.  $\bar{f}(W) \in B \rightarrow W \subseteq B$ .
4.  $f(W) \in \bar{B} \rightarrow B \subseteq \bar{W}$ .

Next we consider the set of strings  $x$  whose inclusion in a p-selective set  $B$  is “obviously” entailed by the inclusion of a given string  $w$ . Formally, given a p-selective set  $B$  with p-selector  $f$ , we define for all  $w \in \Sigma^*$  the set  $B_f^+(w)$  according to the rule

$$B_f^+(w) := \{x \in \Sigma^* \mid f(w, x) = x\}.$$

Buhrman, Torenvliet, and van Emde Boas call this set  $B^+(w)$ ; we add a subscript  $f$  to reflect the dependence of this definition on  $f$ . These sets either contain  $B$  or are contained in  $B$ , depending on whether  $w \in B$ .

**Proposition 3** ([BTvEB93]). *Let  $B$  be a p-selective set with p-selector  $f$ . For all  $w \in \Sigma^*$ ,*

1.  $B_f^+(w)$  is in  $P$ .
2.  $w \in B \rightarrow B_f^+(w) \subseteq B$ , and
3.  $w \in \bar{B} \rightarrow B \subseteq B_f^+(w)$ .

Now we define various reducibilities. These involve an oracle (Turing) machine  $M$ ; we say  $M$  is *polynomial-time* iff there exists a polynomial  $p$  such that for all oracles  $O \subseteq \Sigma^*$  and inputs  $w \in \Sigma^*$ , the computation  $M^O(w)$  halts within  $p(|w|)$  steps, where  $|w|$  denotes the length of  $w$ .  $L(M^O)$  is the set of strings accepted by  $M$  with oracle  $O$ . An oracle machine  $M$  is *positive* iff for all oracles  $O \subseteq O' \subseteq \Sigma^*$ ,  $L(M^O) \subseteq L(M^{O'})$ .

Let  $A, B \subseteq \Sigma^*$  be sets.  $A$  is *positively reducible* to  $B$ , denoted  $A \leq_{pos}^p B$ , iff there exists a positive polynomial-time oracle machine  $M$  such that  $A = L(M^B)$ . We say  $A \leq_{\hat{m}}^p B$  iff  $A \leq_{pos}^p B$  via oracle machine  $M$  such that for all oracles  $O \subseteq \Sigma^*$  and inputs  $w \in \Sigma^*$ ,  $M$  makes at most one query during the computation  $M^O(w)$ .  $\leq_{\hat{m}}^p$ -reducibility, first introduced by Ambos-Spies [AS89], is a variant of many-one reducibility that avoids trivial corner cases:  $A \leq_{\hat{m}}^p B$  if and only if  $A$  is many-one reducible to  $B$  or  $A$  is in  $P$ .

A set  $B \subseteq \Sigma^*$  is *self-reducible* [MP79] iff  $B \leq_T^p B$  via oracle machine  $M$  such that for all oracles  $O \subseteq \Sigma^*$  and inputs  $w \in \Sigma^*$ ,  $M$  queries only strings shorter than  $w$ .  $B$  is *many-one self-reducible* iff it is self-reducible via an oracle machine that instantiates  $B \leq_{\hat{m}}^p B$ .

We can now prove the theorems about the power of one query to a p-selective set.

**Theorem 4** ([BTvEB93]). *Suppose a set  $A \subseteq \Sigma^*$  is positively reducible to a  $p$ -selective set  $B$ . Then  $A \leq_m^p B$ .*

*Proof.* Let  $M$  be an oracle machine that instantiates  $A \leq_{pos}^p B$ , and let  $B$  have  $p$ -selector  $f$ . We specify the behavior of another oracle machine  $N$  and show that it instantiates  $A \leq_m^p B$ .

Given oracle  $O \subseteq \Sigma^*$  and input  $w \in \Sigma^*$ ,  $N$  computes  $M^C(w)$ , where  $C := \{x \in \Sigma^* \mid M^{B_f^+(x)}(w) \text{ rejects}\}$ , recording the set of queries  $Q_{yes}$  answered affirmatively and the set of queries  $Q_{no}$  answered negatively. If  $M^C(w)$  accepts,  $N$  accepts iff  $Q_{yes}$  is empty or  $\bar{f}(Q_{yes}) \in O$ . Otherwise,  $N$  accepts iff  $Q_{no}$  is nonempty and  $f(Q_{no}) \in O$ . If  $N$  does not accept, it halts and rejects.

$N$  is a positive polynomial-time oracle machine that queries  $O$  at most once. To show that  $A = L(N^B)$ , fix  $w \in \Sigma^*$ ; it suffices to show that  $N^B(w)$  accepts if and only if  $M^B(w)$  accepts. Assume first that  $M^C(w)$  accepts. This entails that  $M^{Q_{yes}}(w)$  also accept. If  $Q_{yes}$  is empty, then  $N^B(w)$  accepts, and  $Q_{yes} \subseteq B$ . Since  $M$  is positive,  $M^B(w)$  accepts as well. Otherwise, let  $x := \bar{f}(Q_{yes})$ . If  $x \in B$ , then  $N^B(w)$  accepts,  $Q_{yes} \subseteq B$ , and  $M^B(w)$  accepts. If  $x \in \bar{B}$ , then  $N^B(w)$  rejects and  $B \subseteq B_f^+(x)$ . Since  $x \in C$ ,  $M^{B_f^+(x)}(w)$  rejects, and thus  $M^B(w)$  rejects. The case when  $M^C(w)$  rejects is exactly dual.  $\square$

**Theorem 5** ([BT96]). *Suppose  $B \subseteq \Sigma^*$  is  $p$ -selective and self-reducible. Then  $B$  is many-one self-reducible.*

*Proof.* Let  $M$  be an oracle machine via which  $B$  is self-reducible, and let  $B$  have  $p$ -selector  $f$ . We specify the behavior of another oracle machine  $N$  via which  $B$  is many-one self-reducible.

Given oracle  $O \subseteq \Sigma^*$  and input  $w \in \Sigma^*$ ,  $N$  computes  $M^{B_f^+(w)}(w)$ , recording the set of queries  $Q_{yes}$  answered affirmatively and the set of queries  $Q_{no}$  answered negatively. If this computation accepts,  $N$  accepts iff  $Q_{yes}$  is empty or  $\bar{f}(Q_{yes}) \in O$ . Otherwise,  $N$  accepts iff  $Q_{no}$  is nonempty and  $f(Q_{no}) \in O$ . If  $N$  does not accept, it halts and rejects.

$N$  is a positive polynomial-time oracle machine such that for all oracles  $O \subseteq \Sigma^*$  and inputs  $w \in \Sigma^*$ ,  $N$  queries at most one string during the computation  $N^O(w)$ , and this string, if extant, is shorter than  $w$ . To show that  $A = L(N^B)$ , fix  $w \in \Sigma^*$ ; it suffices to show that  $N^B(w)$  accepts if and only if  $w \in B$ . Assume first that  $M^{B_f^+(w)}(w)$  accepts. This entails that  $M^{Q_{yes}}(w)$  also accept. When  $Q_{yes}$  is nonempty, let  $x := \bar{f}(Q_{yes})$ . If  $Q_{yes}$  is empty or  $x \in B$ , then  $N^B(w)$  accepts, and  $Q_{yes} \subseteq B$ . It follows that  $w \in B$ , since if  $y \in B \cap Q_{no}$ ,  $f(w, y) = w$  and  $y \in B$  implies that  $w \in B$ ; otherwise  $M^B(w)$  and  $M^{Q_{yes}}(w)$  behave identically. If  $x \in \bar{B}$ , then  $N^B(w)$  rejects and  $w \in \bar{B}$ , since  $f(w, x) = w$ . The case when  $M^{B_f^+(w)}(w)$  rejects is exactly dual.  $\square$

The latter theorem has the following immediate corollary, via iteration.

**Corollary 6** ([BT96]).  *$B$  is in  $P$  if and only if  $B$  is  $p$ -selective and self-reducible.*

The author thanks Lane Hemaspaandra for helpful comments and for proofreading an earlier draft.

## References

- [AS89] Klaus Ambos-Spies. Honest polynomial time reducibilities and the  $P=?NP$  problem. *Journal of Computer and System Sciences*, 39(3):250–281, December 1989.

- [BT96] Harry Buhrman and Leen Torenvliet. P-selective self-reducible sets: a new characterization of P. *Journal of Computer and System Sciences*, 53(2):210–217, October 1996.
- [BTvEB93] Harry Buhrman, Leen Torenvliet, and Peter van Emde Boas. Twenty questions to a p-selector. *Information Processing Letters*, 48(4):201–204, November 1993.
- [HT02] Lane A. Hemaspaandra and Leen Torenvliet. *Theory of semi-feasible algorithms*. Springer, December 2002.
- [Joc68] Carl G. Jockusch. Semirecursive sets and positive reducibility. *Transactions of the American Mathematical Society*, 131(2):420–436, May 1968.
- [MP79] Albert R. Meyer and Mike Paterson. With what frequency are apparently intractable problems difficult? Technical Report MIT-LCS-TM-126, Massachusetts Institute of Technology Laboratory for Computer Science, February 1979.
- [Sel79] Alan L. Selman. P-selective sets, tally languages, and the behavior of polynomial time reducibilities on NP. *Mathematical Systems Theory*, 13:55–65, 1979.